

2018 ELPPT
2ndAPPRAISAL OFFICER
BRIEFING

Tanggungjawab
Pegawai Penilai Dalam
Membuat Penilaian
Prestasi

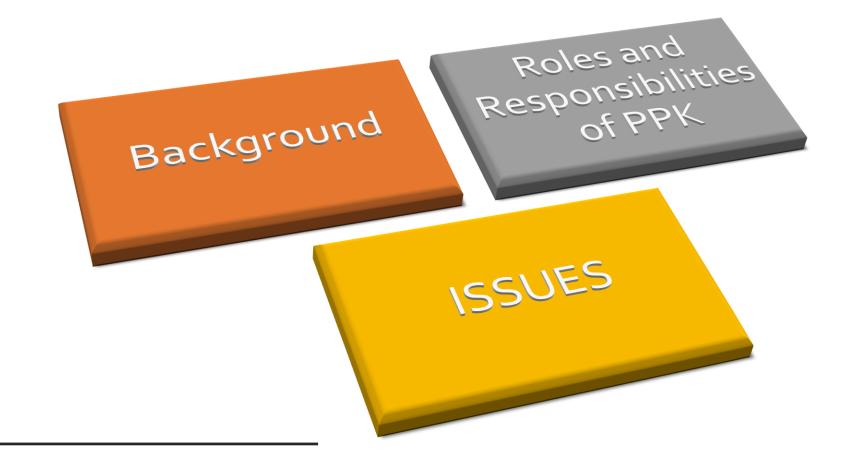
PROF. DATO' DR. AHMAD NAZRI MUHAMAD LUDIN

PENGERUSI TASK FORCE eLPPT

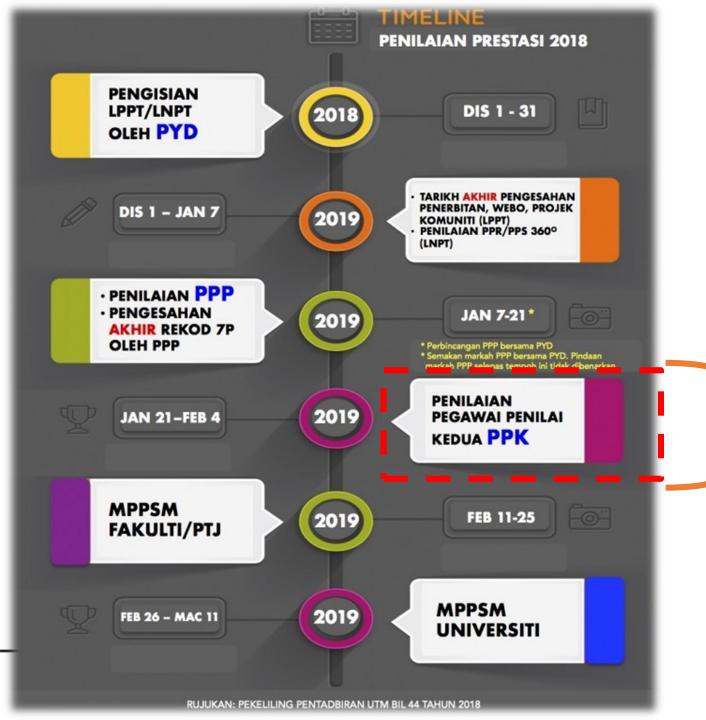
Bahagian Sumber Manusia | Jabatan Pendaftar | 28 Januari 2019



Outline







21 Jan – 4 Feb 2019

Submission Status



SUBMISSION OF PYD (%)

2011	2012	2013	2014	2015	2016	2017	2018
73.0	72.0	75.4	91.9	98.4	98.5	98.8	99.8

Disciplinary Action



ACT 605 STATUTORY BODIES (DISCIPLINE AND SURCHARGE) ACT 2000

SECOND SCHEDULE [Section 5] STATUTORY BODIES DISCIPLINARY REGULATIONS

PART II CODE OF CONDUCT General

- 3. (2) An officer shall not:
- (e) lack efficiency or industry;
- (f) be dishonest or untrustworthy;
- (g) be irresponsible;
- (i) be insubordinate or conduct himself in any manner which can be reasonably construed as being insubordinate; and
- (j) be negligent in performing his duties.



UTM Circular



Admin. Circular No. 3/2018

UNIVERSITI TEKNOLOGI MALAYSIA

UTM.J.02.02/10.12/27 Jld. 3 (57)

15 Januari 2018 27 Rabiulakhir 1439

SURAT PEKELILING PENTADBIRAN BIL. 3/2018

TANGGUNGJAWAB PEGAWAI PENILAI DALAM MEMBUAT PENILAIAN PRESTASI SECARA DALAM TALIAN (ONLINE) TAHUN 2017 (e-LPPT / e-LNPT 360° / e-LNPT)

Surat Pekeliling ini adalah dibaca bersama Pekeliling Pentadbiran Bil. 36 Tahun 2017 mengenai pelaksanaan penilaian prestasi staf secara atas talian (online) bagi tahun 2017 (e-LPPT/e-LNPT 360%-LNPT). Terdapat dua peringkat pegawai yang terlibat dalam membuat penilaian prestasi terhadap Pegawai Yang Dinilai (PYD) iaitu Pegawai Penilai Pertama (PPP) dan Pegawai Penilai Kedua (PPK). Definisi PPP dan PPK seperti berikut:

a) Pegawai Penilai Pertama (PPP) ialah pegawai atasan atau penyelia yang terdekat kepada PYD dan mempunyai hubungan kerja secara langsung atau yang mengawasi

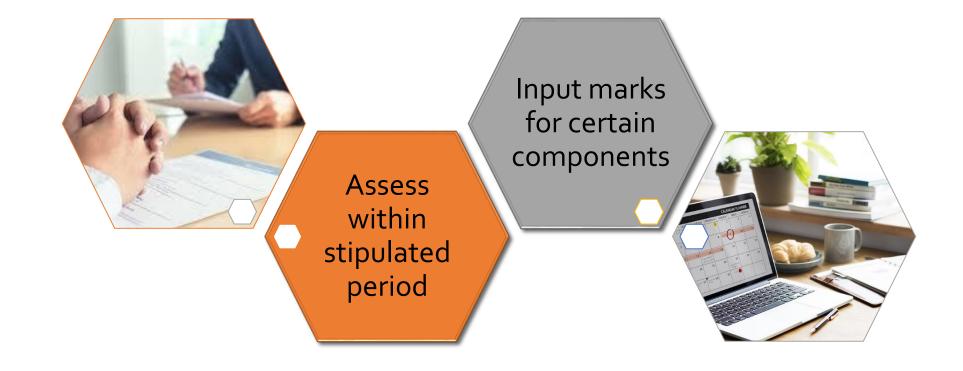
Responsibilities of PPK



- Ensure all PPP1 have completed their evaluation exercise accordingly
- Ensure all component and items (task completed by staff) have been evaluated
- Ensure staff evaluation be done fairly in Faculty JKP
- Eliminate mistakes in evaluation
- Reduce request for change of staff marks during Univ HRD meeting (PPSM University)

Roles of Appraisal Officer





Verification and Evaluation



AC (LECTURER)	AA (ACADEMIC	SYSTEM	ASSESSOR	PPP RC	DLES
AC (LECTORER)	ADMIN)	SISIEM	ASSESSOR	7P verification	Input marks
Teaching	Teaching	AIMS (TP/PP Academic) & eLPPT	PPP1	√ (teaching equivalent & UTMSpace)	√
PG Supervision (Research)	PG Supervision (Research)	7P (TP/PP Academic)	PPP1	X	√ (quality of supervision)
Research- Income &Generation*	Research- Income &Generation*	7P	PPP ₂	\checkmark	х
Professional Services	Professional Services	7P & eLPPT	PPP3	\checkmark	√
KPI (CoE)	KPI	7P & eLPPT	PPP3	√	√
Bonus	Bonus	7P & eLPPT	PPP2 &	√ (IPR, commercial &proposal) (non-reimbursed	X
			· · · · ·	contribution only)	
General evaluation	General evaluation	eLPPT	PPK	×	Same as PPP roles



Teaching & Supervision PPP1/PPK



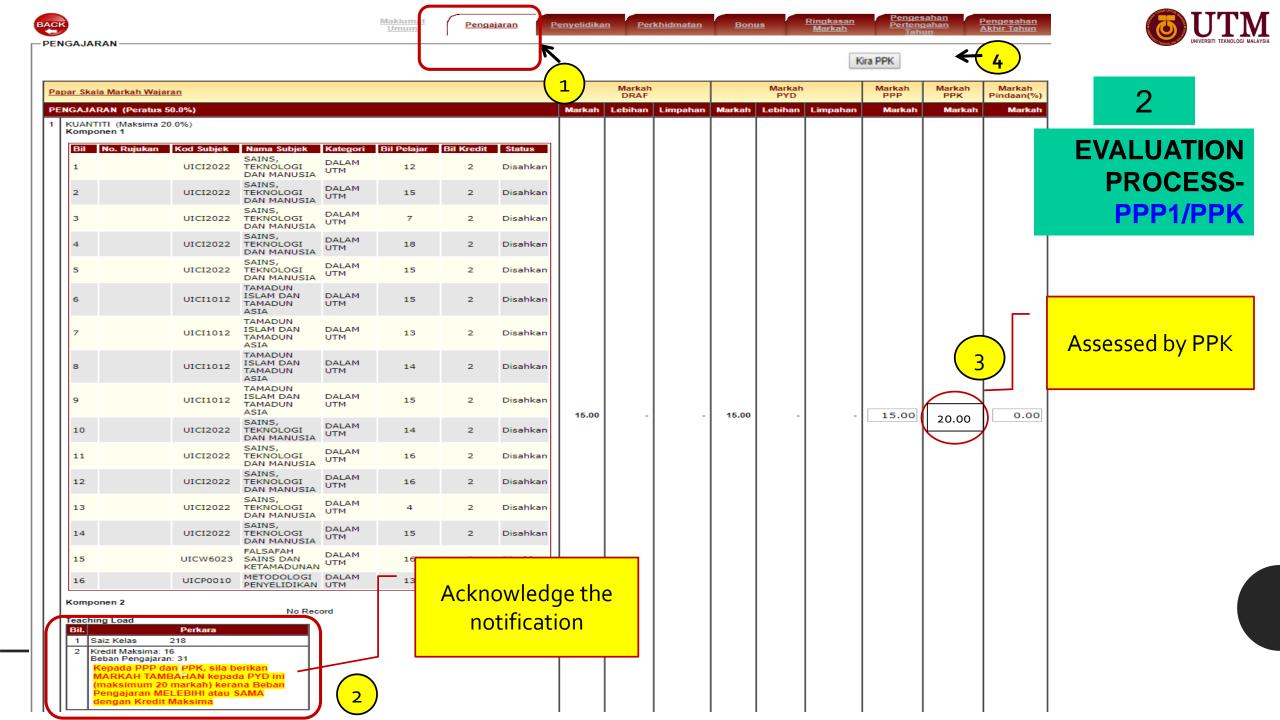




Pegawai Penilai dikehendaki mencatatkan jumlah markah keseluruhan yang diperolehi oleh PYD dalam bentuk peratus (%) berdasarkan jumlah markah bagi setiap Bahagian yang diberi markah.

MARKAH KESELURUHAN									
MARKAH AKHIR/PINDAAN (%)	MARKAH PURATA/ASAL PPP & PPK (%)	Markah DRAF(%)	Markah PYD(%)	Markah PPP(%)	Markah PPK(%)	Pindaan PSM			
84.35	84.35	84.38	84.38	84.35	84.35	84.35			

ı	PENGAJARAN (Peratus 25.0%)		Lebihan	Limpahan	Markah	Lebihan	Limpahan	Markah	Markah	Markah
	KUANTITI (Max : 20.0)	15.00	-	-	15.00		-	20.00	20.00	20.00
2	KUALITI (Max : 15.0)	0.00		-	0.00		-	15.00	15.00	2
	LAPORAN PENILAIAN KURSUS (Max : 5.0)	0.00	-	-	0.00		-	5.00	5.00	5.00
	JUMLAH (Markah 25.0)		-	-	9.38			25.00	25.00	25.00
	F01K99 JUMLAH KESELURUHAN PENGAJARAN (Peratus 25.0%)		9.38			9.38		25.00	25.00	25.00







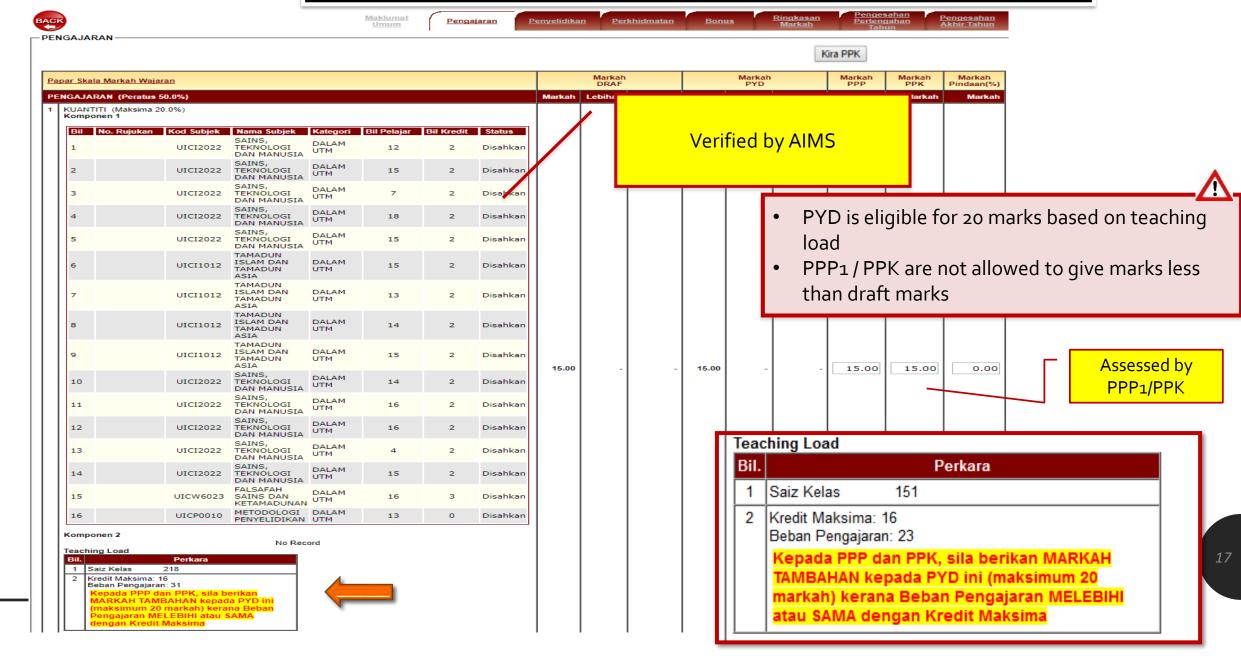
Teaching (Quality)

2	KUALITI (Maksima 15.0%) Inovasi Rubric Kualiti Pengajaran Bil Perkara Markah 1 ONLINE T&L 5.0 2 STUDENT-CENTERED LEARNING 5.0 3 TEACHING WITH MULTIMEDIA 5.0	0.00	-	-	0.00	-	_	0.00	0.00	0.00
	4 INCULCATION OF SOFT SKILLS IN T&L 5.0 5 MENTOR MENTEE 5.0 6 PEER REVIEW 5.0 7 T&L TASK FORCE 5.0 8 TEACHING PORTFOLIO 5.0								2	
3	LAPORAN PENILAIAN KURSUS (Maksima 5.0%) Laporan Penilaian Bil Perkara Markah 1 COMPLETE 5.0	0.00	-	-	0.00	-	-	0.00	0.00	0.00
	E03K99 - JUMLAH 611660 99 (Markah 50.0 -) F01K99 JUMLAH KESELURUHAN PENGAJARAN (Peratus 50.0%)	18.75	18.75	-	18.75	18.75	-	18.75 18.75	18.75 18.75	0.00
	TOTICOS SOMILAN RESELURONAN PENGAJARAN (Pelatus 50.0%)		10.75			10.75		Kira F	et and in the later	3

Teaching (Quantity)

Issue 1: PPP1 / PPK didn't acknowledge teaching load | 🍥





Issue 2: PYD key-in courses that are not listed in AIMS as Teaching Equivalent



Teaching (Quantity)



EXAMPLE OF TEACHING EQUIVALENT

Speaker GIS/RS in Disaster Mgmt UTM 35 student 1 (1 week)



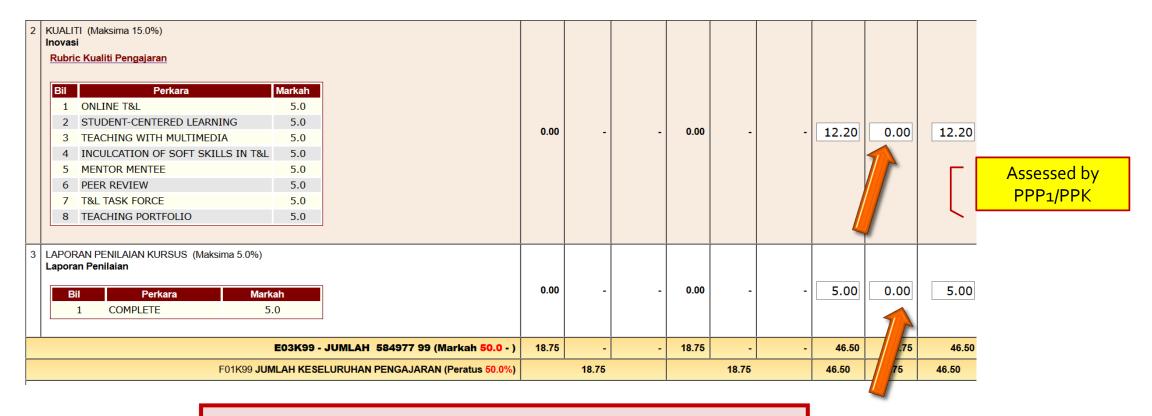
- PYD should notified the faculty's academic office if any subject taught was not listed in the system and not list the subject as Teaching Equivalent.
- PPP1 should not verify incorrect teaching equivalent data
- PPP1/PPK should not give marks for invalid data



Teaching Equivalent are academic activities other than specified in the curriculum and are equivalent with the teaching credits. Examples an academic-related courses or lectures without payment, provision of documents for the purpose of developing academic programs (accreditation and self assessment report). It is rated 1 credit equal to 40 hours load. While teaching without credit can be equate with 1 hour teaching per week and 2 hours of preparation based on 14 weeks per semester equivalent to 1 credit.

Issue 3: PPP1 / PPK marks are less than draft marks





- PYD is eligible for 15 marks based on teaching quality rubric and 5 marks for course assessment report, but PPP1/PPK give marks less than eligible marks
- PPK does not give marks this will effect PYD average marks

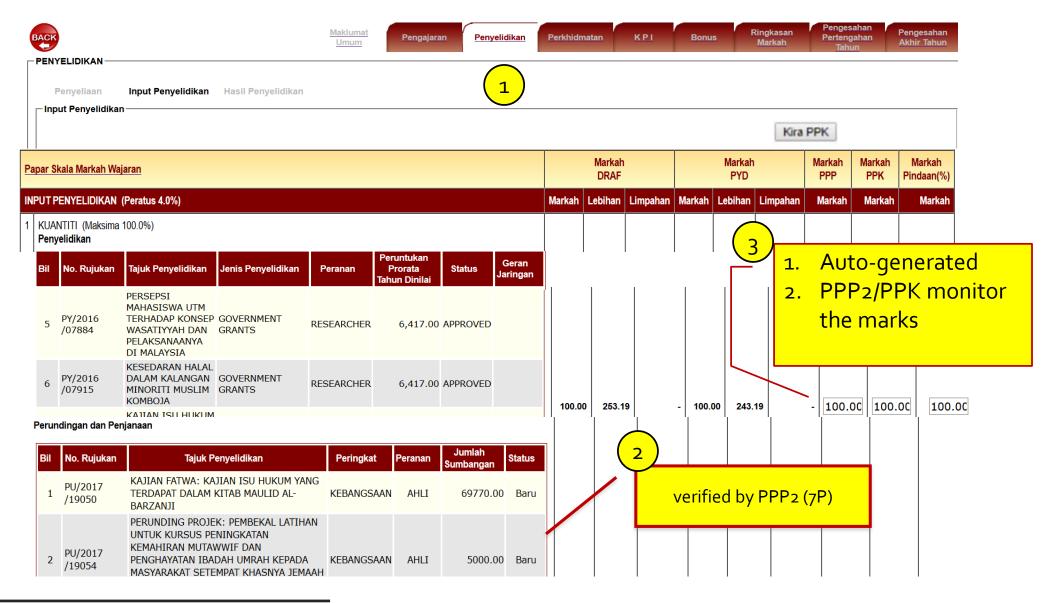


Research PPP2/PPK



EVALUATION PROCESS PPP2/PPK



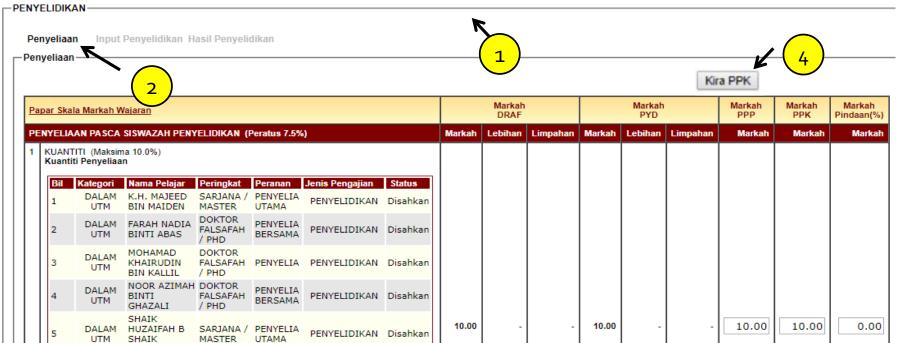






Research

-Supervision



** Untuk Kategori Penyeliaan Luar, Pemarkahan hanya diambil kira PENYELIA BERSAMA sahaja

HUSSAIN

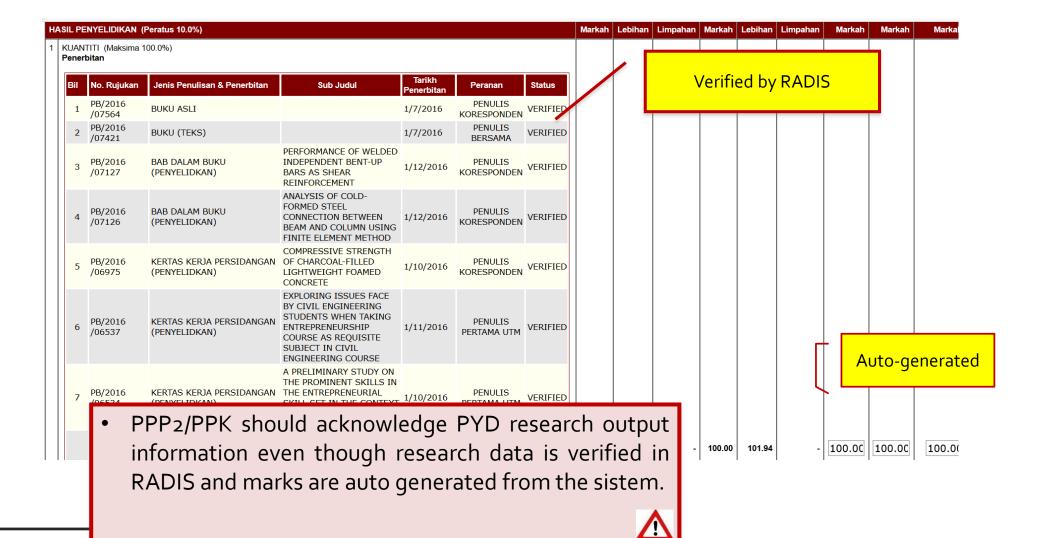
Pelajar	ı	Perkara	Markah									-
>= 1.0 PENYELIA UTAMA / PENYELIA BERSAMA (< 2 Tahun)	PENYELIA UTAMA / PENYELIA BERSAMA (< 2 Tahun)		10.0									ı
== 1.0 PENYELIA UT BERSAMA (:	PENYELIA UT BERSAMA (:	TAMA / PENYELIA 2 - 3 Tahun)	5.0									١
>= 2.0 PENY BERS	PENY BERS	/ELIA UTAMA / PENYELIA SAMA (2 - 3 Tahun)	10.0									ı
		40.000										
(Maks enyelia		a 10.0%) 1 No Record								l la cal		١
ivalei 1		Record		0.00	-	-	0.00	-	-	0.00	0.00	
							3.75			3.75	3.75	l

3

Kira PPK

Issue 4: Acknowledgement of Research Output Data

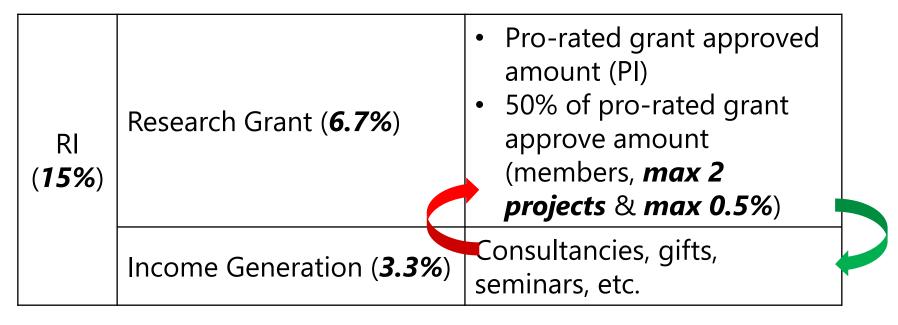


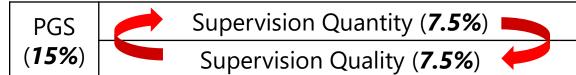


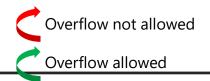
Subsections Overflowing



RO	Quantity (10%)	Publications
(20%)	Quality (10%)	Quartile 🖊







Research Bonus (10%)

Sub-subsections Overflowing



Overflow	RI: Research Grant
1	Government agencies (GUP, Institutions)
	Private including Research Contracts (Others)
•	International

Overflow	RI: Income Generation
	Consultancies
	Endowment
	Gifts
	Product Commercialization/Technology Know How
	Conferences
	Courses (non-degree program)

Score for most items in subsection allow *overflowing*

Please note that although overflowing is allowed, the ratio is not 1:1 (e.g. 1 indexed publication ≠ 1 policy paper)

Overflow	RO: Publications
A	Total Number of Indexed Publication
	Total No. of Publications in Non-Citation-Indexed Journal
	Book Chapter
	Other Publications
	Policy Papers
	Research Books
	Original Books (Non-Research)

Cross Sections Overflowing



Quartile overflow if over-achieve in **RO**

RO (20%) Quality (10%) Quartile

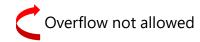
RI (15%)

Max allowable quartile overflow is only 50% of the section quartile overflows to

PGS (**15%**) Supervision Quantity (7.5%)

Supervision Quality (7.5%)

Quartile overflow if over-achieve in **RI**



Overflow allowed

Research Bonus (10%)

Quartile overflow if over-achieve in **PGS**



Services PPP3/PPK



-Professional services



BACK

Maklumat Umum

Pengajaran Penyelidikan

<u>Perkhidmatan</u>

<u>K P I</u>

Bonus

Ringkasan Markah Pengesahan Pertengahan Tahun

Pengesahan Akhir Tahun

-PERKHIDMATAN-

Kuantiti&Kualiti Penglibatan Task Force Anugerah dan Lain-lain Perkhidmatan

-Kuantiti & Kualiti Penglibatan Task Force-

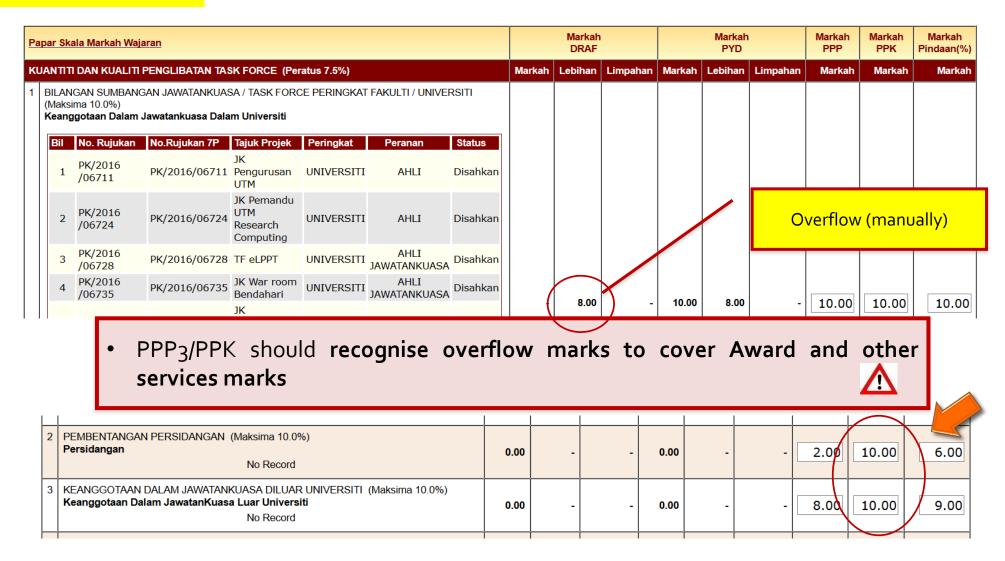
Kira PPK

<u>ipar Skala Markah Wajaran</u>		Markah DRAF			Markah PYD		Markah PPP	Markah PPK	Markah Pindaan(%)
JANTITI DAN KUALITI PENGLIBATAN TASK FORCE (Peratus 7.5%)	Markah	Lebihan	Limpahan	Markah	Lebihan	Limpahan	Markah	Markah	Markah
BILANGAN SUMBANGAN JAWATANKUASA / TASK FORCE PERINGKAT FAKULTI / UNIVERSITI (Maksima 10.0%) Keanggotaan Dalam Jawatankuasa Dalam Universiti									
Bil No. Rujukan No.Rujukan 7P Tajuk Projek Peringkat Peranan Status PROGRAM TADBIR DAN TAKMIR MASJID TAKMIR	2.00	-	-	2.00	-	-	2.00	2.00	2.00
KOMITMEN (Maksima 10.0%)									Assessed I
Komitmen Kesungguhan, ketekunan, dedikasi dan bertanggungjawab dalam melaksanakan tugas Bil. Perkara Pencapaian									
1 Sangat Tinggi 9-10	0.00		_	0.00	_		0.00	0.00	0.00
2 Tinggi 7-8 3 Sederhana 5-6							3.30	5.33	y
3 Sederhana 5-6 4 Rendah 3-4									
5 Sangat Rendah 1-2									

SERVICES -Professional services

Issue 5: PPP3/PPK does not recognised overflow marks





PPP3/PPK should recognise overflow marks to cover Award and other services marks

SERVICES -Professional services

P	ERKHIDMATAN (Peratus 15.0%)	Markah	Lebihan	Lin	npahan N	Markah	Lebihan	Limpahan	Markah	Markah	Markah
K	UANTITI DAN KUALITI PENGLIBATAN TASK FORCE (Wajaran 9.0%)										
1	BILANGAN SUMBANGAN JAWATANKUASA / TASK FORCE PERINGKAT FAKULTI / UNIVERSITI (Max : 10.0)	10.00	38.00		-	10.00	38.00	-	10.00	10.00	10.00
2	KOMITMEN (Max: 10.0)	-	V		-	-	-	-	10.00	10.00	10.00
3	DISIPLIN (Max : 10.0)	-					_	-	10.00	10.00	10.00
4	JALINAN HUBUNGAN DAN KERJASAMA (Max : 10.0)	-	-		Ove	rflow	(manua	ally) -	10.00	10.00	10.00
5	CIRI-CIRI KEPIMPINAN (Max : 10.0)	-	-					-	10.00	10.00	10.00
6	ORIENTASI PENCAPAIAN (Max : 10.0)	-	-		-	-	-	-	10.00	10.00	10.00
7	KEBOLEHAN MENGHADAPI CABARAN (Max : 10.0)	-	-		-	-	-	-	10.00	10.00	10.00
	JUMLAH ITEM 1:(Markah 3.0) JUMLAH ITEM 2-7:(Markah 6.0)	3.00	11.40		-	3.00	11.40	-	20.40	20.40	20.40
A	NUGERAH DAN LAIN-LAIN PERKHIDMATAN (Wajaran 6.0%)										
1	PENGLIBATAN AKTIVITI AKADEMIK (Max : 10.0)	0.00	-		-	0.00	-	-	0.00	0.00	0.00
2	PEMBENTANGAN PERSIDANGAN (Max : 10.0)	5.00	Į.		-	5.00	-	-	5.00	5.00	5.00
3	KEANGGOTAAN DALAM JAWATANKUASA DILUAR UNIVERSITI (Max : 10.0)	10.00	5.00		-	10.00	5.00	-	10.00	10.00	10.00
4	ANUGERAH DAN PENGIKTIRAFAN AKTIVITI AKADEMIK (Max : 10.0)	10.00	253.50		-	10.00	253.50	-	10.	10.00	10.00
5	PEMBANGUNAN SAHSIAH PELAJAR (Max : 10.0)	5.00	-		-	5.00	-	-	0.00	0.00	0.00
6	BADAN PROFESIONAL DAN MoU / MoA (Max : 10.0)	10.00	18.00		-	10.00	18.00	-	10.00	10.00	10.00
7	KEGIATAN DAN SUMBANGAN DI LUAR TUGAS RASMI (Max : 10.0)	10.00	16.00		-	10.00	16.00	-	10.00	10.00	10.00
	JUMLAH (Markah 6.0)	4.29	25.07		-	4.29	25.07	-	28.93	28.93	28.93
	F03K99 JUMLAH KESELURUHAN ANUGERAH DAN LAIN-LAIN PERKHIDMATAN (Peratus DRAF/PYD: 9.0% PPP/PPK: 15.0%)		9.00				9.00		15.00	15.00	15.00



Issue 6: PPK does not assess KPI marks



Maklumat Umum

Pengajaran

Penyelidikan Perkhidmatan

<u>K P I</u>

Bonus Ringkasan Markah Pengesahan
Pertengahan

Pengesahan Akhir Tahun

- KPI -	BALA	NCE	SCORE	CARD
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Papar Skala Markah Wajaran							Markah DRAF			Markah PYD			Markah PPP	Markah PPK	Markah Pindaan(%)	
-	BAL	ANCE SCORE C	CARD (Peratus 50.0%)					Markah	Lebihan	Limpahan	Markah	Lebihan	Limpahan	Markah	Markah	Markah
		Maksima 100.0%														
KPI-Based Unit Performance																
	3il	No. Rujukan	Aktiviti	Sasaran KPI(%)	Pencapaian KPI(%)	Markah PPP(%)	Status									
		KP/2018	Memastikan sekurang- kurangnya 2 ahli CAIRO mendapat Fellowship antarabangsa pada 2018.		400.00	100.00	Biackless									
	1	/16956		100.00	100.00	100.00	Disahkan								Assess by	
		VD/2010	Penganjuran sekurang- kerangnya 10 kursus berkaitan Ai & Robotics													
	2	KP/2018 /16950		100.00	100.00	100.00	Disahkan	100.00	_	_	98.00	-	-	95.00	0.00	0.00

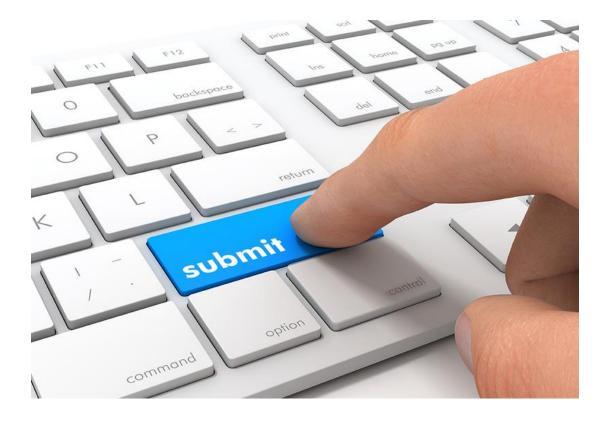


K	AI - KEY AMAL INDICATOR (Peratus 50.0%)		Lebihan	Limpahan	Markah	Lebihan	Limpahan	Markah	Markah	Mark	kah	
KAI - BALANCE SCORE CARD (Wajaran 50.0%)												
1	Al (Max : 100.0)		-	-	98.00	-	-	95.00	0.00	0.	.00)	
	JUMLAH (Markah 50.0)		-	-	49.00	-	-	47.50	47.50	,	47.50	
	F04K99 JUMLAH KESELURUHAN KAI (Peratus 50.0%)	50.00			49.00		47.50	47.50	47.	.50		

Kira PPK



Submission PPP/PPK



SUBMISSION





Maklumat Umum ngajaran Penyelidik

Penyelidikan Perkhidmatan

KPI

Bonus

Ringkasan Markah

Pengesahan Akhir Tahun

PENGESAHAN AKHIR TAHIIN

PEGAWAI PENILAI KEDUA (PPK) - NOT COMPLETED make Assessment and Validation No.Pekerja :PROFESOR MADYA (DS54) Jawatan Nama PPP Fakulti/Jabatan :FAKULTI PENDIDIKAN Tempoh PYD di bawah penyeliaan : 0 Tahun 0 Tarikh Bulan PPK hendaklah memberi ulasan keseluruhan prestasi PYD Prestasi Keseluruhan Career Development PPK hendaklah memberi ulasan keseluruhan prestasi PYD Prestasi Keseluruhan Career Development Test PPP1 Test PPP1 SUBMIT



ISSUES



- Request for change of marks during University PPSM meeting only for work not assess in eLPPT
- Giving undeserving marks to staff. Eg. Marks given for 'No Records' Anugerah & Lain-lain Perkhidmatan
- Academic staff 'request' Faculty PSM to change their marks
- Be fair to early career staff (less than 3 years)

Request of change of marks



V

Early career staff (less than 3 years)

- Faculty can give up to 80% only
- Marks have to be approved by PPSM Faculty but changes will be done by CICT after University PPSM Meeting

Work not assess in eLPPT



- All components subject to approval by University PPSM Meeting
- PPSM PPP does not verify 7P record

AC Administrator (Faculty appointment)

- 20% @ 30%
- Marks have to be approved by PPSM Faculty but changes will be done by CICT after University PPSM Meeting

Overflow marks for services • Can be distributed a

Can be distributed among services component except for personal quality

Technical issue

- List in faculty meetings only.
- Etc. bonus and PPP/PPK mark does not generated

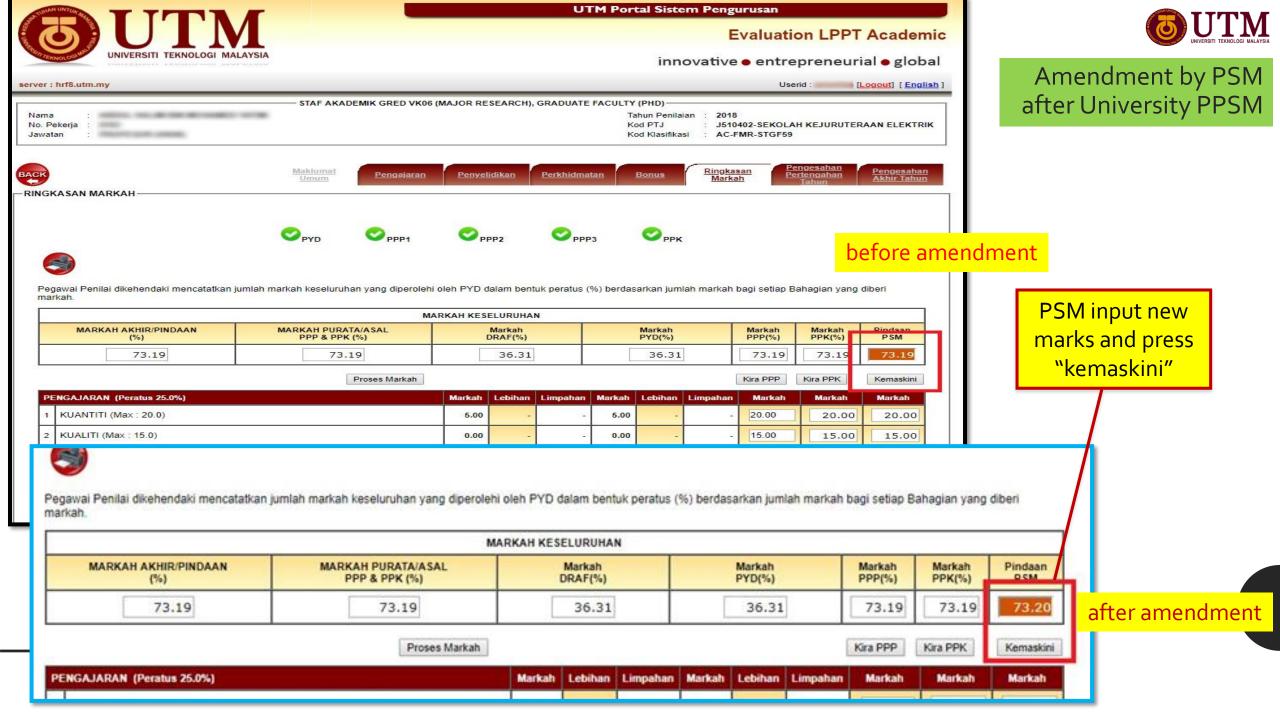




Max marks for Teaching

Notes:

- * All marks have to be approved by University PPSM
 - **Submit and Present to University PPSM**
 - Submit to University PPSM (no need to present)





thank You's

Enquiries: elppt@utm.my